



Patent

Attorney's Docket No. 0023-0128CIP

2666
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Valentino LIVA et al.) Group Art Unit: 2666
Application No.: 10/033,378) Examiner: P. Bhandari
Filed: October 24, 2001)
For: ENHANCED FIBER NODES WITH)
CMTS CAPABILITY)

INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

U.S. Patent and Trademark Office
Customer Service Window, Mail Stop Amendment
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

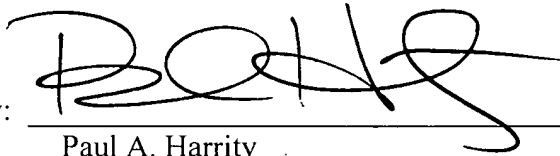
Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of the IDS is required.
- ☒ The fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ Charge \$ _ to Deposit Account No. 50-1070 for the fee due.
- ☒ A check in the amount of \$ 180.00 is enclosed for the fee due.

The Commissioner is hereby authorized to charge any other appropriate fees that may be required by this paper that are not accounted for above, and to credit any overpayment, to Deposit Account No. 50-1070.

Respectfully submitted,

HARRITY & SNYDER, L.L.P.

By: 
Paul A. Harrity
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Suite 300
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CUSTOMER NUMBER: 44987

Date: October 11, 2005



Patent
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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

U.S. Patent and Trademark Office
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Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicant(s) bring(s) to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement:

☐ includes a certification as specified by Section 1.97(e).

☒ is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

10/12/2005 SDENB081 00000082 10033378

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180.00 DP

☐ **Certification 1:** Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

☐ **Certification 2:** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

☐ Copies of the listed documents are attached.

☐ Copies of the listed documents were previously submitted in a prior application, serial no. __, filing date __, upon which applicant(s) rely(ies) for the benefits provided in 35 U.S.C. § 120. Applicant(s) respectfully request(s) that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

☐ The following is a concise statement of relevance of the non-English language documents.

1. __ discloses __.

2. __ discloses __.

☐ English translations of the non-English documents are enclosed.

☐ In lieu of a statement of relevance or translation of the non-English documents, an English language version of a search report from the __ Patent Office in a corresponding application citing these documents and setting forth the relevance thereof is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicant(s) determine(s) that the cited document(s) do not constitute "prior art" under United States law, applicant(s) reserve(s) the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

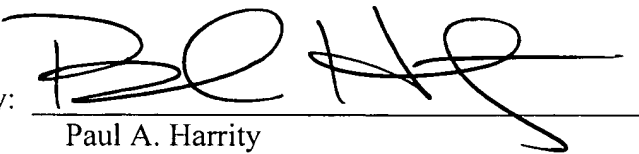
If any copending application(s) is/are cited on the attached PTO 1449, the Examiner's attention is directed to the foregoing application(s) in compliance with § 2001.06(b) of the Manual of Patent Examining Procedure. By identifying the copending application(s), the assignee and/or applicant of the application(s) do not waive confidentiality of the application(s). Accordingly, the U.S. Patent and Trademark Office is requested to maintain the confidentiality of the copending application(s) under 35 U.S.C. § 122.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-1070.

Respectfully submitted,

HARRITY & SNYDER, L.L.P.

By:

A handwritten signature in dark ink, appearing to be 'PAH' with a long horizontal stroke extending to the right.

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11240 Waples Mill Road
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CUSTOMER NUMBER: 44987

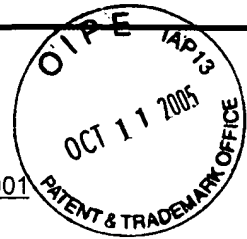
Date: October 11, 2005



INFORMATION DISCLOSURE CITATION PTO-1449		CUSTOMER NUMBER 44987	ATTORNEY'S DKT No. 0023-0128CIP		APPLICATION No. 10/033,378		
			APPLICANT(S) Valentino LIVA et al.				
			FILING DATE October 24, 2001		GROUP 2666		
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	5,768,682	6/1998	M. Peyrovian	725	128		
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

STATEMENT UNDER 37 CFR 3.73(b)



Applicant/Patent Owner: Valentino LIVA et al.

Application No./Patent No.: 10/033,378

Filed/Issue Date: October 24, 2001

Entitled: ENHANCED FIBER NODES WITH CMTS CAPABILITY

Juniper Networks, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012753, Frame 0178, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _ To:

The document was recorded in the United States Patent and Trademark Office at Reel _, Frame _, or for which a copy thereof is attached.

2. From: _ To:

The document was recorded in the United States Patent and Trademark Office at Reel _, Frame _, or for which a copy thereof is attached.

3. From: _ To:

The document was recorded in the United States Patent and Trademark Office at Reel _, Frame _, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

October 11, 2005

Date

Paul A. Harrity

Typed or printed name

Signature

Attorney for Assignee

Title